

NEBRASKA REAL ESTATE COMMISSION
TRUST ACCOUNT EXAMINATION
EVALUATION

2025

Overall

Number Sent 100
Responses Received 18

Directions: Please evaluate the following items by circling the appropriate yes/no response.
Comments to clarify responses are strongly encouraged.

1. Was the compliance audit conducted in a professional manner?

Yes 18 No 0 No Answer _____

Comments: very helpful; examiner was very kind; always ontime, always professional; examiner is always knowledgeable

2. Was the compliance audit completed in a timely manner?

Yes 17 No 1 No Answer 0

Comments: I'm not sure if it was timely or not, but it was much longer than we previously experienced with examiner. We booked off our day based on the 2 hour or so timeframe it normally took examiner, but we had to cancel some appointments this day because it took closer to the 5 hour mark.

3. Was the compliance audit conducted with a minimal number of relevant interruptions to your business activities or personnel?

Yes 17 No 1 No Answer 0

Comments: see previous comment about time; I have never had a disruptive audit, if someone has a disruptive audit just means they are not conducting business correctly;

4. Was the compliance auditor courteous?

Yes 18 No 0 No Answer 0

Comments: very nice

5. Did you experience any difficulties in communication with the auditors or completing the audit?
Yes 1 No 17 No Answer 0

Comments:

6. Was the compliance auditor knowledgeable and able to answer your questions?
Yes 16 No 1 No Answer 1

Comments: n/a

7. At the conclusion of the audit, did the compliance auditor review the irregularities with you in such a manner that you completely understood?
Yes 17 No 0 No Answer 1

Comments: n/a;

8. Do you have comments, suggestions, or recommendations on how the compliance audit process, or a particular area of the audit process, could be improved?
Yes 1 No 17 No Answer 0

Comments:

During COVID, we were able to conduct compliance audits via email and document submission, and that was very helpful and time-saving. It would be great to go back to that process if possible.

ADDITIONAL COMMENTS:

Audit was professional, courteous and timely; I do appreciate the auditor letting mne know in advance, when they will be arriving; Examiner is always professional and conscious of my time. I have never had any issues with examiner; none; very helpful; Examiner was very accommodating and answered our questions completely! Examiner was easy to talk to and very professional;